

**Extending shell conditionals ~~(DRAFT)~~**  
**David A. Wheeler, Geoff Clare, and David Korn**  
**2011-11-282013-10-241-29**

This white paper proposes various extensions to the existing POSIX shell conditional statements [by extending test/\[](#) and [by adding sh support for \[\[...\]\]](#). It supports Austin group defect report 375 (<http://austingroupbugs.net/view.php?id=375>), in particular reply 967.

~~This is a **draft**. As it is spread more widely, it is expected to change. The intent is to provide a single location where the issues can be discussed in an organized fashion.~~

To the extent possible under law, the contributors to this document have waived all copyright and released it to the copyright public domain, under the terms of the Creative Commons CC0 waiver: <http://creativecommons.org/choose/zero/waiver> This way, any of its material can be used by the standards developers (or others) in any way they desire.

*Table of contents:*

[Overview](#)

[Issue](#)

[Background](#)

[Requirements](#)

[Importance](#)

[Proposed changes to the POSIX specification](#)

[Add “==” to test](#)

[Add “-nt” \(newer than\) and “-ot” \(older than\) to test](#)

[Add “-ef” to test](#)

[Add “\[\[”](#)

[Rationale](#)

[Add “==” to test](#)

[Add “-nt” \(newer than\) and “-ot” \(older than\) to test](#)

[Add “-ef” to test](#)

[Add “\[\[”](#)

[Alternative proposals](#)

[Adding “<” and “>” to test](#)

[Appendix A: Interpretation of -nt and -ot](#)

# Overview

## Issue

Many implementations of "test" (aka "["), including shell built-ins, implement conditionals beyond those specified in the current version of POSIX. What's more, many extant programs rely on these extensions. This proposal recommends formally adding these widely-implemented extensions to the POSIX specification itself, as these extensions have become widespread and are ready to be standardized. Each of these additions is described separately, since they can be treated separately.

## Background

Austin group defect report 375 (<http://austingroupbugs.net/view.php?id=375>) ("Extend test/[...] conditionals: ==, &lt;=, &gt;=, -nt, -ot, -ef") proposed extensions to POSIX "test". It proposed adding certain widely-implemented and widely-used extensions of "test" to the POSIX standard.

This defect report was discussed at the September 8, 2011 teleconference meeting and it was agreed that the submitter should "produce a whitepaper expanding the proposal (similar to proposals made in the past, for example the LFS proposal, <http://www.unix.org/version2/whatsnew/lfs20mar.html> (Adding Support for Arbitrary File Sizes to the Single UNIX Specification). This could then be widely circulated amongst all interested parties to look for consensus. The standard developers recommend that the white paper should pay particular attention to note 670."

This document is the whitepaper requested by the Austin group. This whitepaper attempts to expand the proposal so that it can be "widely circulated amongst all interested parties to look for consensus".. It attempts to respond to standards developers recommendations, in particular, to pay "particular attention to note 670."

This document was first developed by David A. Wheeler (dwheeler, at, dwheeler, dot com), based on feedback on the Austin group bug tracker and mailing list. The first version of this document was dated 2011-11-15. It has been changed since that time due to various feedback, in particular, historical corrections from David Korn (especially his email on November 16, 2011), ~~and~~ suggestions from Geoff Clare [in November 2011, and further input from David Korn in October 2013 \(e.g., to name the section "conditional command"\)](#). ~~It~~ [This proposal was discussed in the 2013-11-21 Austin group teleconference; at that time the group decided that "==" and nothing else should be added to "test/\["](#), ["\["..."\]" should be added, and the other functionality such as "-nt" should be added to "\["..."\]" instead of "test/\["](#).

## Requirements

These proposals are only proposed because they meet the following requirements:

1. Are already implemented in at least one implementation.
2. Are used in existing programs/scripts.
3. Are easily implemented.

## Importance

All of these proposals can be implemented in other ways, but their omission in POSIX can render otherwise-compatible scripts non-conforming. Some of these extensions are identified as "bashisms" in pages such as <http://mywiki.woledge.org/Bashism>, but in fact these are widely implemented and/or depended upon, whether or not bash is used.

Their widespread use and implementation suggests that they are ready to be added to POSIX itself.

## Proposed changes to the POSIX specification

Note that page and line numbers are for the 2008 edition unless otherwise stated.

### Add “==” to test

In the text of test, after page 3224 line 107505, add the following primary definition:

- `s1 == s2` True if the strings `s1` and `s2` are identical; otherwise, false. This primary shall be equivalent to `s1 = s2`.

### Add “-nt” (newer-than) and “-ot” (older-than) to test

In the text of test, after page 3224 line 107470, add the following primary definitions:

- `pathname1 -nt pathname2` True if `pathname1` resolves to an existing file and `pathname2` cannot be resolved, or if both resolve to an existing file and `pathname1` is newer than `pathname2` according to their last data modification timestamps; otherwise, false.
- `pathname1 -ot pathname2` True if `pathname2` resolves to an existing file and `pathname1` cannot be resolved, or if both resolve to an existing file and `pathname1` is older than `pathname2` according to their last data modification timestamps; otherwise, false.

### Add “-ef” to test

In the text of test, after page 3223 line 107455, add the following primary definition:

- ~~"pathname1 ef pathname2". True if pathname1 and pathname2 resolve to existing directory entries for the same file; otherwise, false.~~

## • **Additional Change for “-nt”, “-ot” and “-ef” in test**

- ~~If either “-nt” and “-ot” or “-ef” is added to test, the paragraph about pathnames needs to be updated. At page 3225 line 107521 change:~~
- 
- ~~With the exception of the -h pathname and -L pathname primaries, if a pathname argument is a symbolic link, ...~~
- 
- ~~to:~~
- 
- ~~With the exception of the -h pathname and -L pathname primaries, if a pathname, pathname1, or pathname2 argument is a symbolic link, ...~~

## Add “[[”

In XCU section 2.4, line 72478, add “[[” and “[”]” to the list of reserved words. On line 72491, remove “[[” and “[”]” from the reserved word list.

In section 2.6, extend the first paragraph to cover [double bracket conditional command extensions](#). After “Not all expansions are performed on every word, as explained in the following sections” add “and in section 2.9.x [Conditional commandsDouble Bracket Expressions](#)”.

In section 2.9, add a new third-level subsection “[Conditional command Double bracket expressions](#)” after the “function definition” section, e.g., as a new 2.9.6:  
*[Reviewers' Note: David Korn suggests the new section should be called “Conditional Command” \(with consequent changes to the text\).](#)*

## [DOUBLE BRACKET EXPRESSIONS](#)[CONDITIONAL COMMAND](#)

A “[conditional commanddouble bracket expression](#)” [iscommand evaluates a conditional expression between](#) the reserved word “[[” [followed by one or more words and then the](#) reserved word “[”]”, [optionally followed by redirections, terminated by a control operator. The](#) [format for the command is](#)

[\[\[ conditional-expression \]\]](#)

[—A double bracket expression shall be evaluated to return a status of 0 \(true\) or 1 \(false\). The exit status of the command shall be zero if the conditional expression evaluates to true and shall](#)

be 1 if it evaluates to false. Within the conditional expression, '!' shall be a reserved word and '(', ')', '<', '>', '&&', and '||' shall be operators. Syntactic examination of the expression to identify conditional operators and expression operators (parentheses, !, &&, and ||) shall be done before any expansions; text that is quoted or is the result of an expansion shall not be recognized as a conditional reserved word, operator, DB\_UNARY token, or DB\_BINARY token or expression operator when it is directly contained in a conditional command double bracket expression. Word expansions shall not be performed for DB\_UNARY and DB\_BINARY tokens. Field splitting and pathname expansion shall not be performed on the words WORD tokens directly enclosed between the [[ and ]], but other expansions shall be performed as described in section 2.6 (word expansions).-

All of the unary and binary primaries of the test utility shall be available as conditional operators (DB\_UNARY and DB\_BINARY tokens, respectively) in a double bracket expression conditional command and shall behave as described for the test utility, except that an implementation may (but need not) support the following for:

- ~~[[ *isolated string* ]] and [[ !*string* ]]s.~~ Strictly conforming applications shall ensure that they use portable alternatives to determine if a string has nonzero length such as -n *string*, !-z *string*, or comparing a string with != ""; they shall not use an isolated variable reference as an expression.
- ~~Reviewers' Note: David Korn suggests that this should not be optional. Note also that the grammar addition below does not currently allow it.~~
- ~~Obsolete and/or.~~ An implementation need not support tThe -a (and) and -o (or) primaries, and strictly conforming applications shall avoid using them; see the description of && and || below.  
*Reviewers' Note: these primaries are expected to be removed from the test utility in Issue 8, in which case this list item will not need to be added.*
- ~~"String equality. The expressions s1 = s2" and s1 == s2 shall instead behave as described below. If supported, the behavior of this comparison operation shall not be as described for the test utility; it shall behave as described for "=" below.~~
- ~~Reviewers' Note: if "=" is added to the test utility as a synonym for "=", there needs to be an additional exception here since the behavior is different.~~

In addition, ~~an implementation shall support at least~~ the following ~~additional~~ conditional operators ~~shall be supported~~ inside a double bracket expression conditional command:

~~"string == pattern"~~

~~Return true (0) if *string* matches the pattern as described in "Pattern Matching Notation" (section 2.13); otherwise return false (1). Characters that are quoted in *pattern* (e.g., inside double-quotes) shall not be treated as special.~~

~~"string != pattern"~~

~~Return the logical negation of "*string* == *pattern*".~~

~~"string =~ regex"~~

~~Return true (0) if **string** matches the extended regular expression **regex**; otherwise return false (1). Characters that are quoted in **regex** shall not be treated as special.~~

~~**"string1 < string2"**~~

~~Compare two strings using the collating sequence in the current locale, and return true (0) if **string1** is less than **collates** before **string2** in the current locale; otherwise, return false (1).~~

~~**"string1 > string2"**~~

~~Compare two strings using the collating sequence in the current locale, and return true (0) if **string1** is greater than **collates** after **string2** in the current locale; otherwise, return false (1).~~

**pathname1 -nt pathname2**

true if **pathname1** resolves to an existing file and **pathname2** cannot be resolved, or if both resolve to an existing file and **pathname1** is newer than **pathname2** according to their last data modification timestamps; otherwise, false.

**pathname1 -ot pathname2**

true if **pathname2** resolves to an existing file and **pathname1** cannot be resolved, or if both resolve to an existing file and **pathname1** is older than **pathname2** according to their last data modification timestamps; otherwise, false.

**pathname1 -ef pathname2**

true if **pathname1** and **pathname2** resolve to existing directory entries for the same file; otherwise, false. *Reviewers' Note: If **-nt**, **-ot** and **-ef** are not added to the test utility, then they should be included here. David Korn also suggests adding:*

**-v word**

~~evaluates to~~ true if **word** expands to the name of a variable that is set; otherwise, false.

(This also affects the **DB\_UNARY** list in 2.10.2 below.)

Expressions can be combined as follows using the following expression operators, in decreasing order of precedence:

~~**"( e )"**~~

~~Return the value of true if expression **e** is true, otherwise false.~~

~~**"! e"**~~

~~Return true (0) if expression **e** is false, otherwise return false (1).~~

~~**"e1 && e2"**~~

~~Return true (0) if both **e1** and **e2** are true, otherwise return false (1). This is a short-circuit evaluation; if **e1** is false, **e2** shall not be evaluated.~~

~~**"e1 || e2"**~~

~~Return true (0) if either **e1** or **e2** is true, otherwise return false (1). This is a short-circuit evaluation; if **e1** is true, **e2** shall not be evaluated.~~

In the grammar of section 2.10.2, after page 2327 line 73492, add make the following changes:

After line 74771 (2013 edition) add a new grammar rule:

10. [Double bracket expressionconditional command]

When the TOKEN is exactly a reserved word and the preceding token was neither DB\_UNARY nor DB\_BINARY, the token identifier for that reserved word shall result. When the TOKEN is exactly “-b”, “-c”, “-d”, “-e”, “-f”, “-g”, “-h”, “-L”, “-n”, “-p”, “-r”, “-S”, “-s”, “-t”, “-u”, “-w”, “-x”, or “-z”, and the preceding token was neither DB\_UNARY nor DB\_BINARY, the token identifier DB\_UNARY shall result. Implementations may recognize additional DB\_UNARY tokens consisting of a <hyphen> and an alphabetic character from the portable character set. When the TOKEN is exactly “==”, “!=”, “=~”, “<”, “>”, “-ef”, “-eq”, “-ne”, “-gt”, “-ge”, “-lt”, “-le”, “-nt”, or “-ot”, and the preceding token was neither DB\_UNARY nor DB\_BINARY, the token identifier DB\_BINARY shall result. Implementations may recognize additional DB\_BINARY tokens consisting of one or more punctuation characters from the portable character set or consisting of a <hyphen> and one or more alphabetic characters from the portable character set. Otherwise, the token WORD shall be returned.

In line 73515-73516, add '[' and ']' by replacing those lines with:

```
%token Lbrace Rbrace Bang Ldbracket Rdbracket
/* '{' '}' '!' '[' ']' */
```

After line 73542, after function\_definition, add as a new type of command:

```
| double_bracket_expression
```

Before 73617 (definition of simple\_command), add:

```
double_bracket_expression : Ldbracket inner_db_expression Rdbracket
                           | Ldbracket inner_db_expression Rdbracket redirect_list
                           ;
inner_db_expression : db_term
                   | inner_db_expression OR_IF db_term
                   ;
db_term             : db_factor
                   | db_term AND_IF db_factor
                   ;
db_factor           : Bang db_factor
                   | '(' inner_db_expression ')'
```

```
| DB_UNARY WORD _____ /* Apply rule 10 */
| WORD DB_BINARY WORD _____ /* Apply rule 10 */
| ;
```

*Reviewers' Note: more additions are needed relating to the new DB\_UNARY and DB\_BINARY terminals and context-dependent recognition rules for them.*

## Rationale

### Add “==” to test

This proposed change adds primary “s1 == s2” as a synonym for “s1 = s2”.

There are three major reasons for adding “==”:

1. *Primary “==” is already widely implemented in many implementations and is used in many shell scripts. This suggests that there is value in standardizing it.*
2. Primary “==” is more visually distinct from assignment (“=”). Since “=” is also used for assignment in shell scripts, using “==” for “is equals” makes the comparison visually distinctive, making it clearer to readers that “is equals” is intended.
3. Allowing “==” for “is-equal-to” adds consistency with other programming languages that use “=” for assignment. Most languages that use “=” for assignment also use “==” for “is equals” so that these operations are more visually distinct. These include C, C++, Java, C#, Python, and Perl. It is oddly inconsistent that test/[ do not support “==” as well. Many languages (like Pascal) that use “=” for comparison use another spelling (like “:=”) for assignment, again, to keep their spellings separate. In some cases these languages can always disambiguate from context, and even then, they intentionally do not use the same spelling. It's too late to get rid of “=” for comparison, but it's easy to add “==” as a synonym, which is what is proposed.
4. *Primary “==” is already widely implemented in many implementations and is used in many shell scripts. This suggests that there is value in standardizing it.*

A counter-argument to adding “==” is that it is redundant with “=”. This is true, but there are many other redundancies in POSIX. ~~For example, “[” is redundant with “test” but this is not considered a problem.~~ In any case, it is a redundancy that is considered valuable by many; “=” came first, and many implementers have added “==” since.

It could be argued that perhaps “==” should mean “numeric equality” instead of “string equality”. However, “==” is already widely implemented and used to mean string equality. In contrast,



there are no instances where it is implemented or used to mean numeric equality in a test/[ or shell implementation. This universal agreement strongly suggests that string equality is the right semantic to standardize.

Obviously, there is no *requirement* that assignment and is-equal-to be visually distinctive, since they are disambiguated by being part of test/[ or not. A “=” only means “is-equal” inside test/[ , and it only means “assignment” as a shell command. But many shell and test implementations do support “==”; their many users, and the many other languages which do this, suggest that this is widely considered to be useful.

This proposal is *not* a proposal that “everyone just switch to bash”. This is widely-implemented extension, not one implemented solely by bash, and it is used by those who do not use bash.

The primary “==” is a very widely-implemented synonym for “=” and in all cases it is implemented as a synonym for “=”. The “==” in test is already implemented in at least the following implementations:

1. GNU bash: Supports ==.
2. GNU coreutils “test”: Added “==” support on 2011-03-22.
3. ash: Supports ==.
4. pdksh (public domain korn shell): Supports ==, see <http://web.cs.mun.ca/~michael/pdksh/pdksh-man.html> (Note that some system’s “ksh” is actually pdksh).
5. mksh (MirBSD(TM) Korn Shell): Supports ==. See <http://www.mirbsd.org/mksh.htm>
6. OpenBSD’s /bin/sh: Supports “==” (it’s not documented, but it DOES work).
7. FreeBSD-current’s /bin/sh and /bin/test have recently added “==”. See <http://svn.freebsd.org/base/head/bin/test/test.c>.
8. busybox ash: Supports ==. This is particularly remarkable, since busybox is designed for relatively small systems and emphasizes small code size. Yet even busybox implements “==”.
9. AT&T ksh, see below.

A few implementations do not support “==”, but even in those cases it tends to be trivial to add:

1. NetBSD’s sh doesn’t support “==”, but a patch has been submitted to add it. The last comment (2011-03-18) on it was positive, but it is not clear what they will do with it: <http://gnats.netbsd.org/cgi-bin/query-pr-single.pl?number=44733>. However, if this is added to POSIX, it is likely to be added to NetBSD sh.
2. The dash shell does not support “==”, but doing so is a one-line patch. This patch is at <http://permalink.gmane.org/gmane.comp.shells.dash/498> and was submitted on 2011-03-06. The developers seemed to agree that if POSIX added “==” as a requirement, dash would implement it.

~~An older version of this proposal stated that it had “no effect on the official ksh from AT&T; ksh doesn’t have test/[ built-in, so it simply uses the underlying implementation of test/[ . Note that~~

~~some systems have a 'ksh' that is actually a pdksh.~~ However, David Korn reported in an email (to austin-group-l at [opengroup.org](http://opengroup.org) dated November 16, 2011) that, ~~"This is not true.~~ test has been a built-in from day 1 of ksh. Moreover, == is supported as a synonym for =". AT&T ksh does have "[[" and inside this it does support "==" as a synonym for "="; in fact, it considers "=" obsolete inside "[[".

## Add “-nt” (newer-than) and “-ot” (older-than) ~~to test~~

This proposal adds primaries -nt (newer-than) and -ot (older-than) for comparing modification timestamps as a comparison operator inside [[...]]. Determining if something should be done, based on whether or not one file is newer than another, is a common operation. Thus, it makes sense to include the ability to easily compare modification times of filesystem objects. On the 2013-11-29 teleconference it was generally agreed to add these, but only to inside [[...]] and not to test/ as a requirement. (though implementations are free to add it these as an extension to test/, but portable code would use them inside [[...]]). Note that this decision also avoids minor incompatibilities in semantics for test/ vs. [[...]].

It is possible to get the same effect using the standard mechanisms using awkward expressions such as [ "\$ (find 'pathname1' -prune -newer 'pathname2') " ]. However, this is not at all clear, and is much more complicated. This extension is widely implemented, and this proposal adds it the standard.

An older version of this proposal defined the semantic as checking if a file “existed”. However, Geoff Clare pointed out on November 18, 2011, that ksh and bash (at least) do not distinguish between non-existence (ENOENT) from the stat() errors EACCES, ENOTDIR, and ELOOP (at least), and that is probably true for all stat() errors. Thus, the proposal was tweaked to state if the file “resolves to an existing file” instead of “exists” in the proposed text. Also, the phrase “modification time” was clarified to “last data modification timestamps”.

One challenge is, ~~that there is some disagreement on what should~~ the semantics ~~should~~ be if files do not exist ~~or have stat errors?~~ For purposes of this issue, we will ignore the distinction between “do not exist” and “have a stat error” (as that is a separate issue), ~~and simply say~~ “exist” ~~as that is easier to say~~. Possibilities for the standard are:

1. *Both files must exist for a “true” result. This is the semantic of “dash” and some other implementations in test/. though note that dash does not implement [[...]]. This can be expressed as:*
  - a. `pathname1 -nt pathname2`: True if `pathname1` and `pathname2` exist and `pathname1` is newer than `pathname2` according to their modification times; otherwise false.
  - b. `pathname1 -ot pathname2`: True if `pathname1` and `pathname2` exist and `pathname1` is older than `pathname2` according to their modification times; otherwise false.
2. *A nonexistent file is considered older than a file that does exist. This is the semantic of bash and current pdksh. Note that pdksh version 5.2.14 switched to*

*this semantic in 1999, suggesting that there was value to this particular semantic. It's also the semantic of the original KornShell (though the KornShell book incorrectly says otherwise; see below). This semantic can be expressed as:*

- a. `pathname1 -nt pathname2`: True if `pathname1` exists and `pathname2` does not, or if both exist and `pathname1` is newer than `pathname2` according to their modification times; otherwise, false. (Note that if `pathname1` does not exist, the result is false.)
  - b. `pathname1 -ot pathname2`: True if `pathname2` exists and `pathname1` does not, or if both exist and `pathname1` is older than `pathname2` according to their modification times; otherwise, false. (Note that if `pathname2` does not exist, the result is false.)
3. Allow either semantic. An example would be:
- a. `pathname1 -nt pathname2`: True if both `pathname1` and `pathname2` exist and `pathname1` is newer than `pathname2` according to their modification times. False if `pathname1` does not exist. Otherwise, it is unspecified if it returns true or false.
  - b. `pathname1 -ot pathname2`: True if both `pathname1` and `pathname2` exist and `pathname1` is older than `pathname2` according to their modification times. False if `pathname2` does not exist. Otherwise, it is unspecified if it returns true or false.

An argument for option 1 is that its description is slightly simpler. But it is not much simpler.

The proposal here recommends option 2, namely, that nonexistent files be considered older, for the following reasons:

1. This makes it simple to express the case where a file “overrides” an older file, as a file that exists is considered newer than a file that does not exist.
2. Tighter semantics are in general desirable, where practical.
3. Since `pdcksh` intentionally switched to this semantic, this suggests that this is a more useful semantic.

An argument for option 3 is that no one has to change their implementation to match, if it were also required for test/. If option 2 is not accepted, option 3 would be a reasonable alternative, especially since there would always be the option to tighten up the semantics in some future version of POSIX if necessary.

David Korn reported in an email (to `austin-group-l` at [opengroup.org](http://opengroup.org) dated November 16, 2011) some useful history on `-nt` and `-ot`. In this email, he stated that the behavior “of `-nt` and `-ot` when `file1` and `file2` did not exist was not well documented the KornShell book upon which the standard is based. It was documented incorrectly in the New KornShell book published in 1995 [as]:

`[[ file1 -nt file2 ]]` is true if `file1` is newer than `file2` or `file2` does not exist.

`[[ file1 -ot file2 ]]` is true if `file1` is older than `file2` or `file2` does not exist.

The `[[ file1 -ot file2 ]]` should be true if `file1` doesn't exist, not `file2`.

Thus if `[[ file1 nt -file2 ]]` is true, then `[[ file1 -ot -file2 ]]` must be false even if `file1` `file2` do not exist. If both do not exist, then they must both be false.” Thus, KornShell implemented the semantics as proposed (option 2), even though the KornShell book incorrectly says otherwise.

## Add “-ef” to test

In many cases it is useful to know if two different filenames refer to the same file. For example, [http://gcc.gnu.org/bugzilla/show\\_bug.cgi?id=30838](http://gcc.gnu.org/bugzilla/show_bug.cgi?id=30838) reports on a shell script “gen-classlist.sh” with the following line, so that certain actions will only occur if two different directory names refer to different directories:

```
if test ! "${top_builddir}" -ef "@top_srcdir@"; then
```

On the 2013-11-29 teleconference it was generally agreed to add this, but only inside `[[...]]` and not to `test/[` as a requirement.

This text is worded as “refer to the same file” instead of simply “are hard linked,” as this is what extant implementations actually do. In particular, if files symbolically link to the same eventual file, comparing them with “-ef” should produce “true”. Austin group defect report 375, reply 670, reports that:

```
touch a; ln -s a b; test a -ef b
sets $? to 0 on at least bash and GNU coreutils test (at least).
```

This primary is currently implemented in at least bash, busybox sh, and GNU coreutils test.

## Add “[[”

The `test/[` operator can sometimes be difficult to use correctly. Word splitting and pathname expansion can require many quote characters to do simple comparisons. Longer expressions (involving “-a” or “-o”) can be misinterpreted, especially if an expansion produces a value that looks like a primary (e.g., “-z”). The common extension comparisons “<” and “>” must to be quoted if they are used at all, and implementations differ on how locale affects these comparisons inside `test/[`. If a variable expands to a comparison operator, it can be misinterpreted in certain cases.

Perhaps most concerningly, it is overly difficult to compare strings with text patterns using `test/[`. Developers sometimes use “case” to compare variables with a globbing pattern, because `test` does not include a mechanism for doing so. And “case” only supports the simple globbing scheme, not the far more capable regular expression pattern-matching mechanism.

Adding “[[” adds a way to perform tests that are less error-prone, as well as adding various useful capabilities such as pattern-matching (both globbing and regular expressions) and lexical comparison. What’s more, these are already in use.

An older version of this proposal added “[[” as a grouping command, but it is not really a grouping command. Instead, it is a way to compute expressions, and thus it doesn't really fit with the way the standard uses the term “grouping command” (the specification says they “provide control flow for commands”). It is not a simple command either, because preceding it with assignments or redirections causes it not to be recognised. For example, in ksh:

```
$ foo=bar [[ x == x ]]
-ksh: [: not found [No such file or directory]
$ > /tmp/foo [[ x == x ]]
-ksh: [: not found [No such file or directory]
```

The text is worded to make it clear that in [...], if a word evaluates to a conditional operator (such as “-z”) it will *not* be considered an operator. This is different from test/[ , which is an advantage of [...]. For example:

```
$ [ $(printf '%s\n' -z) "" ]; echo $?
0
$ [[ $(printf '%s\n' -z) "" ]]; echo $?
-ksh: syntax error: ` ' unexpected
```

The grammar given above stops at the point where “test” is no longer defined in a grammar.

The effects of quoting and expansion on operators that take patterns is not well documented in ksh’s man page nor in bash’s info page. This is not as simple as just saying that quoting characters within the pattern preserves their literal value, because backslash is still special within “...” but not ‘...’. The effects of quoting also apply to special characters resulting from expansions. For example:

```
pattern='*'
[[ string == $pattern ]] # matches
[[ string == "$pattern" ]] # does not match because the * is literal
This is also true for regular expression matching using “=~” using both ksh and bash:
pattern=".*"
[[ stuff =~ .* ]] && echo true # prints true
[[ stuff =~ $pattern ]] && echo true # prints true
[[ stuff =~ ".*" ]] && echo true # Does NOT print true
[[ stuff =~ "$pattern" ]] && echo true # Does NOT print true
[[ stuff =~ '.*' ]] && echo true # Does NOT print true
```

The proposed semantics are based on the “[[” implementations of bash (see [http://www.gnu.org/s/bash/manual/html\\_node/Conditional-Constructs.html#Conditional-Constructs](http://www.gnu.org/s/bash/manual/html_node/Conditional-Constructs.html#Conditional-Constructs)), pdksh (see <http://web.cs.mun.ca/~michael/pdksh/pdksh-man.html>) , and AT&T ksh93 (<http://www2.research.att.com/sw/download/man/man1/ksh.html>).

Implementations are free to implement “=” inside a [double-bracket expression conditional command](#) with the same semantics as the double bracket conditional pattern matching operator “==”. It is not defined, since ksh (at least) identifies “=” as obsolete inside `[[...]]`.

The proposal given here includes “! expression”. However, it does not require support for the one-parameter “string” or “! string” as a valid expression. Many implementations interpret a “string” all by itself as true if non-null, and false if an empty string. These were not included on the theory that there are alternative ways of expressing this that are much clearer:

-n string

-z string

string == ""

string != ""

If the standards body prefers, one-parameter “string” and “! string” could be easily added to the proposal. The text has been worded so that single parameter “string” and “! string” are valid nonstandard extensions.

## Alternative proposals

### Adding “<” and “>” to test

Early versions of this proposal also proposed [adding this to test/\[](#):

In the text of test, circa page 3224, add the following primary definitions:

- s1 < s2 True if the string s1 is lexicographically less than s2; otherwise, false.
- s1 > s2 True if the string s1 is lexicographically greater than s2; otherwise, false.

However, comment #670 by eblake on 2011-02-07 (see <http://austingroupbugs.net/view.php?id=375> comment #670) made some good points about the problems with these primaries. He noted that < and > inside test/[ must be quoted. Also, existing implementations often fail to implement locale-specific collation with these primaries. Thus, as recommended by eblake, an effort has been made to standardize `[[`, where < and > do not have to be quoted, and where collation is always done according to locale; [“<” and “>” are then proposes as part of added to `\[\[...\]\]`](#).

## Appendix A: Interpretation of -nt and -ot

Unfortunately, there are differences in how -nt and -ot are implemented in different shells [in test/\[](#). This appendix shows the differences in detail, to help justify the options and the one selected

above. [At this point this information is historical, since -nt and -ot are only being proposed inside \[...\], but it is retained in case it's useful later.](#)

<http://austingroupbugs.net/view.php?id=375> bugnote 975 includes a report from gber on 2011-09-25 stating: "It should be noted that there are widespread implementations of test -nt/-ot with different and incompatible semantics in FreeBSD/NetBSD/OpenBSD and dash. These test implementations all trace their roots to the test builtin of pdksh before version 5.2.14, the difference to the behavior described above is that test will return failure in case the second file does not exist. The test implementations with this behavior have been used by NetBSD since 1994 and by FreeBSD since 1999 and it seems to have been used by dash since the first Linux port of ash in 1993."

In particular, pdksh trunk changed its semantics in 1999 with this changelog entry from <http://web.cs.mun.ca/~michael/pdksh/ChangeLog> :

```
Wed Jun 30 17:42:54 NDT 1999 Michael Rendell (michael@lyman.cs.mun.ca)
    * c_test.c(test_eval): changed -nt/-ot tests so they succeed
      if pathname2 (pathname2) `does not exist' (ie, the stat fails).
      (based on fix from Dave Hillman).
```

To determine various systems' behavior, the following script was run in a directory with files "n" (newer) and "o" (older), and no such files named 1 or 2:

```
result() {
    if [ "$?" = 0 ] ; then
        echo "t"
    else
        echo "f"
    fi
}

# files 1 and 2 don't exist.  File "o" is older than file "n" (newer):
ITEMS="1 o n"

echo "Smoke test: Produce false and true:"
false ; result
true ; result

echo "test -nt, for files $ITEMS:"
for left in $ITEMS ; do
    for right in $ITEMS 2 ; do
        if ! [ "$right" = "2" ] || [ "$left" = "1" ] ; then
            printf "%s -nt %s: " "$left" "$right"
            test $left -nt $right ; result
        fi
    done
done
```

```

echo "test -ot, for files $ITEMS:"
for left in $ITEMS ; do
  for right in $ITEMS 2 ; do
    if ! [ "$right" = "2" ] || [ "$left" = "1" ] ; then
      printf "%s -ot %s: " "$left" "$right"
      test $left -ot $right ; result
    fi
  done
done

```

The following are produced by GNU bash 4.1.10(4), GNU coreutils test, and pdksh version 5.2.14:

Smoke test: Produce false and true:

```

f
t
test -nt, for files 1 o n:
1 -nt 1: f
1 -nt o: f
1 -nt n: f
1 -nt 2: f
o -nt 1: t
o -nt o: f
o -nt n: f
n -nt 1: t
n -nt o: t
n -nt n: f
test -ot, for files 1 o n:
1 -ot 1: f
1 -ot o: t
1 -ot n: t
1 -ot 2: f
o -ot 1: f
o -ot o: f
o -ot n: t
n -ot 1: f
n -ot o: f
n -ot n: f

```

The following is produced by dash version 0.5.6.1:

Smoke test: Produce false and true:



```

f
t
test -nt, for files 1 o n:
1 -nt 1: f
1 -nt o: f
1 -nt n: f
1 -nt 2: f
o -nt 1: f
o -nt o: f
o -nt n: f
n -nt 1: f
n -nt o: t
n -nt n: f
test -ot, for files 1 o n:
1 -ot 1: f
1 -ot o: f
1 -ot n: f
1 -ot 2: f
o -ot 1: f
o -ot o: f
o -ot n: t
n -ot 1: f
n -ot o: f
n -ot n: f

```

The output of “diff -u ,bash ,dash” is, briefly (the results of “bash” are shown with “-” while the results of dash are shown with “+”):

```

1 -nt o: f
1 -nt n: f
1 -nt 2: f
-o -nt 1: t
+o -nt 1: f
o -nt o: f
o -nt n: f
-n -nt 1: t
+n -nt 1: f
n -nt o: t
n -nt n: f
test -ot, for files 1 o n:
1 -ot 1: f
-1 -ot o: t
-1 -ot n: t
+1 -ot o: f
+1 -ot n: f

```

```
1 -ot 2: f
o -ot 1: f
o -ot o: f
```